

TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371		ATTORNEY'S DOCKET NUMBER SOLAICX 004
INTERNATIONAL APPLICATION NO. PCT/US05/06658	INTERNATIONAL FILING DATE	U.S. APPLICATION NO. (if known, see 37 CFR 1.5) 10/789,638 10/52582
TITLE OF INVENTION System for Continuous Growing of Monocrystalline Silicon		
APPLICANT(S) FOR DO/EO/US Solaicx, Inc.		

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a submission under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a submission under 35 U.S.C. 371.
3. ☐ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
4. ☐ The US has been elected (Article 31).
5. ☐ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. ☐ is attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ has been communicated by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
 - a. ☐ is attached hereto.
 - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ have been communicated by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☐ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11 to 20 below concern document(s) or information included:

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☐ A preliminary amendment.
14. ☐ An Application Data Sheet under 37 CFR 1.76.
15. ☐ A substitute specification.
16. ☒ A power of attorney and/or change of address letter.
17. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821-1.825.
18. ☐ A second copy of the published International Application under 35 U.S.C. 154(d)(4).
19. ☐ A second copy of the English language translation of the International application under 35 U.S.C. 154(d)(4).
20. ☒ Other items or information: **Disk with patent app.**

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)		INTERNATIONAL APPLICATION NO.		ATTORNEY'S DOCKET NUMBER	
10/789,638		10/525,824		PCT/US05/06058	
The following fees have been submitted:				CALCULATIONS PTO USE ONLY	
21. <input type="checkbox"/> Basic national fee.....				\$ 300	
22. <input type="checkbox"/> Examination fee If international preliminary examination report prepared by USPTO and all claims satisfy provisions of PCT Article 33(1)-(4).....				\$ 100	
All other situations.....				\$ 200	
23. <input type="checkbox"/> Search fee Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority.....				\$ 400	
International Search Report prepared and provided to the Office.....				\$ 400	
All other situations.....				\$ 500	
TOTAL OF 21, 22 and 23 =				\$ 800	
<input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.					
Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof (round up to a whole number)	RATE		
- 100 =	/50 =		x \$250	\$	
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(h)).				\$	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total claims	31 - 20 =	11	x \$ 50	\$ 550	
Independent claims	15 - 3 =	12	x \$200	\$ 2400	
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			2 + \$360	\$ 720	
TOTAL OF ABOVE CALCULATIONS =				\$ 4470	
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2.					
SUBTOTAL =				\$ 2235	
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(l)).				\$	
TOTAL NATIONAL FEE =				\$	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property				\$	
TOTAL FEES ENCLOSED =				\$ 3393	
				Amount to be refunded:	\$ 1158
				Amount to be charged:	\$
<p>a. <input type="checkbox"/> A check in the amount of \$ _____ to cover the above fees is enclosed.</p> <p>b. <input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed.</p> <p>c. <input type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. _____. A duplicate copy of this sheet is enclosed.</p> <p>d. <input type="checkbox"/> Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.</p>					
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.					
SEND ALL CORRESPONDENCE TO:					
<p><i>Michael Hetherington</i> SIGNATURE</p> <p>Michael Hetherington NAME</p> <p>32,357 REGISTRATION NUMBER</p>					

Patent Application For: **PCT/US 05/06058**
SYSTEM FOR CONTINUOUS GROWING OF
MONOCRYSTALLINE SILICON

Please stamp and confirm receipt in the U.S. Patent Office of the following:

- ☒ Specification and Abstract 27 ^{pgs} 22 pages ^{SK}
- ☒ Claims 7 pages
- ☒ Drawings 9 pages
- ☐ Power of Attorney/Declaration of Inventor(s)
- ☐ Assignment and PTO Form 1595
- ☐ Power of Attorney by Assignee
- ☒ Filing Fee Amount: Check for \$ 3393.00
- ☒ Authorization to charge Deposit Account No. 501866
- ☒ Other: Express Mail Label # ED626384065 US

Attorney Docket No. 5064PCT

Attorney: Michael Hetherington

Date mailed: Feb. 25, 2005

DT03 Rec'd PCT/PTO 25 FEB 2005

Patent Application For:


SYSTEM FOR CONTINUOUS GROWING OF
MONOCRYSTALLINE SILICON

Please stamp and confirm receipt in the U.S. Patent Office of the following:

- ☒ Specification and Abstract 22 pages
- ☒ Claims 7 pages
- ☒ Drawings 9 pages
- ☐ Power of Attorney/Declaration of Inventor(s)
- ☐ Assignment and PTO Form 1595
- ☐ Power of Attorney by Assignee
- ☒ Filing Fee Amount: Check for \$ 3393.00
- ☒ Authorization to charge Deposit Account No. 501866
- ☒ Other: Express Mail Label # ED626384065 US

Attorney Docket No. SoLx4PCT
Date mailed: Feb. 25, 2005

Attorney: Michael Hetherington

MICHAEL HETHERINGTON ATTORNEY AT LAW		11-4288 4687 1210 1914449671	1002
DATE <u>Feb 25 2005</u>			
PAY TO THE ORDER OF <u>Commissioner for Patents</u>		\$ <u>3393⁰⁰/₁₀₀</u>	
<u>Three thousand three hundred ninety three</u>		<u>08</u> DOLLAR <u>98</u>	
 Wells Fargo Bank, N.A. California wellsfargo.com		Security Features Included Details on Back	
MEMO <u>Sol. 4 CZ Grower</u>		<u>Michael Hetherington</u> MP	
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